

ੴ ਸ੍ਰੀ ਵਾਹਿਗੁਰੂ ਜੀ ਕੀ ਫਤਹ ॥



ਪੰਜਾਬ ਐਂਡ ਸਿੰਧ ਬੈਂਕ  
Punjab & Sind Bank  
ਪੰਜਾਬ ਐਂਡ ਸਿੰਧ ਬੈਂਕ

ਪੀ.ਐਸ.ਬੀ. (ਭਾਰਤ ਸਰਕਾਰ ਦਾ ਉਪਕਰਮ/A Govt. of India Undertaking)

Phone: 011-25782926, 25812922, Email: complianceofficer@psb.co.in

ਪ੍ਰ.ਕਾ. ਲੇਖਾ ਏਵੰ ਲੇਖਾ ਪਰਿਕਸ਼ਾ ਵਿਭਾਗ  
"ਸ਼ੇਅਰ ਕੱਖ" ਬੈਂਕ ਹਾਊਸ, ਪ੍ਰਥਮ ਤਲ,  
21, ਰਾਜੇਂਦਰ ਪਲੇਸ, ਨਵੀਂ ਦਿੱਲੀ-110008  
H.O. Account & Audit Department  
"SHARES CELL" Bank House, 1st Floor  
21, Rajendra Place, New Delhi- 110008

ਸੰਦਰਬ/Reference No. PSB/HO/Shares Cell/ 24 /2022-23

ਦਿਨ/Date: May 18, 2022

To,

Bombay Stock Exchange Limited,  
Department of Corporate Services,  
25<sup>th</sup> floor, Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort, Mumbai – 400 001.  
SCRIP ID : PSB  
SCRIP CODE : 533295

National Stock Exchange of India Ltd.,  
Exchange Plaza, C – 1, Block – G,  
Bandra Kurla Complex, Bandra (East),  
Mumbai – 400 051.  
SYMBOL: PSB SERIES: EQ

Dear Sir,

**Reg.: Secretarial Compliance Report**

Please find enclosed the Secretarial Compliance Report of the Bank for the year 2021-22 in compliance with regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular CIR/CFD/CMD1/27/2019 dated 08.02.2019.

Yours faithfully

Saket Mehrotra  
Company Secretary



**Secretarial compliance report of Punjab & Sind Bank for the Financial Year  
ended 31<sup>st</sup> March 2022**

**[In Pursuance of Circular CIR/CFD/CMD1/27/2019 Dated 8<sup>th</sup> February 2019]**

We, have examined:

- (a) all the documents and records made available to us and explanation provided by Punjab & Sind Bank ("the Bank"),
- (b) the filings/ submissions made by the Bank to the stock exchanges,
- (c) website of the Bank,
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March 2022 in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2021;
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021;
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, we hereby report that, during the year under review:





- (a) The Bank has complied with the provisions of the above Regulations and circulars/ guidelines issued except in respect of matters specified below:

Sr. No	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
NIL*			

\* Refer Note 1.

- (c) The Bank has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (d) The following are the details of actions taken against the Bank/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	of	Details of action taken E.g. fines, warning letter, debarment etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NIL*					

\* Refer Note 1.

**Note 1: The Bank has filed Corporate Governance Report for the quarter ended 31<sup>st</sup> March 2021 on 28<sup>th</sup> April 2021 instead of the due date on 15<sup>th</sup> April 2021 to BSE Limited (BSE). The BSE has imposed fine of INR 26000 plus GST as per Circular no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020, issued by Securities and Exchange Board of India (SEBI). On representation being filed by Bank to the BSE that the said delay was due to technical fault of XBRL utility of BSE, the BSE vide its email dated 1<sup>st</sup> December 2021, has accepted the Bank request for waiver of the said fine.**





The Bank has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observation of the Practicing Company Secretary in the previous reports	Observation made in the secretarial compliance report for the year ended 31 <sup>st</sup> March 2021	Actions taken by the Bank, if any	Comments of the Practicing Company Secretary on the actions taken by the Bank
NOT APPLICABLE				



**Suchitta Koley**  
Company Secretary  
CP No.: 714

UDIN: F001647D000329073

Place: New Delhi

Date: 16<sup>th</sup> May 2022